

EXPLANATORY NOTES
- Information Required For Each Item On Registration Form

Form SSC 9A
(Investment Abroad - Equity)

COVER PAGE

Item	Description
1. Submission by applicant seeking approval	❖ Please tick this item if the registrant submits his/her/its application himself/herself/itself
2. Submission on behalf of the applicant (third party)	❖ Please tick this item if a third party submits the application on behalf of the registrant e.g. consultant company, bank, etc.
3. Query ID	❖ Please state the Query ID number assigned by Bank Negara, if this application is submitted to Bank Negara Malaysia (BNM) arising from a query

PARTICULARS OF REGISTRANT

Item	Description
4. Name of registrant	❖ Name of registrant as registered with Companies Commission of Malaysia (CCM), National Registration Department (NRD) and Registrar of Societies (ROS). For non-resident, the name as registered with any official registration centre overseas.
5. Basic Group	❖ The category of registrant e.g. individual, company, association etc.

6. Resident status
 - ❖ Residency status of registrant in Malaysia according to exchange control rules
 - a. Resident
 - ❖ A citizen of Malaysia or a person who has obtained a permanent resident status in Malaysia and residing in Malaysia, or body corporate or incorporate which is registered or approved by any authority in Malaysia. Please refer to the definition of resident in [ECM1](#) for details.
 - i) Resident Controlled Company (RCC)
 - ❖ A resident company which is controlled directly or indirectly by residents. Please refer to the definition of RCC in [ECM 8](#) for details.
 - ii) Non-resident Controlled Company (NRCC)
 - ❖ A resident company which is controlled directly or indirectly by non-resident. Please refer to the definition of RCC in [ECM 8](#) for details.
 - b. Non-resident
 - ❖ A person who is not a resident, including Embassies, Consulates, High Commissions, supranational or international organisation. Please refer to the definition of non-resident in [ECM1](#) for details.
7. Business Registration /NRIC no.
 - ❖ Identification number as given by the CCM, NRD and ROS. For partnership, professional bodies, government agencies, associations, statutory bodies, co-operatives, societies, international organisation, foundation and businesses in Sabah and Sarawak, please use the number assigned by Bank Negara Malaysia (BNM) earlier, if any. Otherwise, please use the identification number assigned by the relevant approving authority.
8. Passport no.
 - ❖ The number as shown in your passport for individuals or the number assigned by overseas registration centre for other than individuals.
9. Nationality
 - ❖ The nationality as shown in your passport or the country where the non-resident registrant is located.
10. Registered Address
 - ❖ The address as shown in the Memorandum of Association of the company
11. Correspondence address
 - ❖ Address where the registrant can be contacted by post
12. Contact person
 - ❖ The name of person for BNM officer to contact for any enquiry

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| 13. Designation | ❖ Designation of the above contact person |
| 14. Telephone no. | ❖ Telephone number (fixed line or mobile phone) where the contact person can be reached |
| 15. Fax no. | ❖ Current facsimile number to facilitate contact |
| 16. E-mail address | ❖ Valid and active e-mail address for any enquiries or notification |
| 17. Principal business activity | ❖ State main business activity of the registrant. A list of business activities is provided for registrant to choose. If the registrant is an individual, system will automatically chosen the principal business activity as “household” and registrant is required to complete his/her occupation |
| 18. Occupation/Business activity details | ❖ For individual registrant, please state your occupation. For other than individual registrant, this space could be completed to further describe its principal business activity. |
| Details of third party | ❖ Particulars of party, who is submitting application on behalf of registrant |
| 19. Name of company/
Individual | ❖ Name of the third party which applies on behalf of registrant. For resident, the name should be the same as that registered with CCM, NRD or ROS |
| 20. Address | ❖ Address where the third party can be contacted by post |
| 21. Contact person | ❖ The name of person for BNM officer to contact for any enquiry |
| 22. Designation | ❖ Designation of the above contact person of third party |
| 23. Telephone no. | ❖ Telephone number (fixed line or mobile phone) where the contact person of third party can be reached |
| 24. Fax no. | ❖ Current facsimile number of the third party to facilitate contact |
| 25. E-mail address | ❖ Valid and active e-mail address of the third party for any enquiries or notification |

DETAILS OF APPLICATION

Item	Description
1. New investment	❖ Registrant is proposing to invest in a company which it did not have any equity interest before
2. Increase in existing investment	❖ Registrant is proposing to invest in a company which it already has existing equity interest e.g. to increase shareholding from 30% to 50%. If the registrant had already been given an investment identification number by BNM for the previous investment, please state the approval identification number.
3. Amount to register	❖ The proposed amount to invest in the overseas company, in foreign currency and its ringgit equivalent. Registrant is required to use the ISO currency code as provided in the ECM Notice to indicate the type of currency of the investment. If registrant is proposing to make investment abroad in foreign currency equivalent to certain amount of RM, please state the type of foreign currency and the RM equivalent amount.
4. Type of equity to be acquired	❖ Indicate the type of securities of the investee company to be acquired by registrant:
a) Ordinary shares	❖ Ordinary shares of the investee company which carry voting rights
b) Irredeemable Preference shares (IRPS)	❖ Preference shares issued by the investee company which are not redeemable
c) Others	❖ Securities issued by investee company in the form/name of other than listed above. Please specify the name of the securities.

If ordinary shares, please complete the following:

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| i) No. of shares to be acquired | ❖ Number of ordinary shares to be acquired by the registrant |
| ii) Existing shareholding (%), if any | ❖ Percentage of ordinary shares that the registrant already acquired compared with the total amount of ordinary shares issued by the investee company |
| iii) New shareholding after acquisition (%) | ❖ New percentage of ordinary shares that the registrant will hold after this proposed investment compared with the total amount of ordinary shares issued by the investee company |
5. Use of funds by investee (Only applicable if subscribed at primary level)
- ❖ Registrant is required to specify the intended use of funds by the investee company from the proposed shares acquisition. The intended use of funds is only required to be provided if registrant subscribed the securities at primary level

DETAILS OF INVESTEE

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| 6. Name of company (investee) | ❖ Name of the investee company which registrant intends to invest into |
| 7. Country | ❖ The country where the investee company is located. For country, please indicate the ISO country code as provided in the ECM Notices. |
| 8. Nature of business | ❖ State main business activity of the investee as stated in the company's Memorandum of Association |

REMITTANCE FROM INVESTEE TO ULTIMATE INVESTEE

This section is only applicable for registration of overseas investment where investee intends to make further investment in or on-lend to other company(ies).

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| 9. Name of ultimate investee | ❖ Full name of the party whom the investee intends to invest in or on-lend to |
| 10. Country | ❖ The country where the ultimate investee is located. Please state the ISO country code as provided in ECM Notices |

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| 11. Currency | ❖ The currency of remittance from the investee to ultimate investee. Registrant is required to use the ISO currency code as provided in the ECM Notice to indicate the type of currency |
| 12. Amount | ❖ The foreign currency amount to be remitted from investee to ultimate investee |
| 13. Use of funds | ❖ The expected usage of the funds by the ultimate investee |
| 14. Ultimate Investee's Relationship with Investor/ applicant | ❖ State the relationship of the ultimate investee/borrower with the registrant. Who is the ultimate investee to registrant? e.g. holding company, subsidiary, banker, etc. |
| 15. Nature of business | ❖ State main business activity of the ultimate investee/borrower as stated in the company's Memorandum of Association |

SOURCE OF FUNDING FOR THE INVESTMENT

- ❖ Registrant is required to indicate the source of funding for the proposed investment by completing the amount in foreign currency as well as ringgit equivalent in the table provided. Please use the ISO currency code as provided in the ECM Notices to indicate the type of currency.
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| 16. A. Cash | ❖ Refers to the investment that is to be made by cash: |
| a) Own funds | ❖ Refers to the source of fund for the investment from the registrant's own funds as follows: |
| i) Deposits | |
| - RM account | ❖ Refers to funds that is to be sourced from ringgit account balances with domestic banks |
| - FC account | ❖ Refers to funds that is to be sourced from foreign currency account balances with domestic banks |
| ii) Incoming funds: | |
| - equity injection: | |
| ▪ resident shareholders | ❖ Refers to funds that is to be sourced from a proposed injection of equity by resident shareholders |
| ▪ non-resident shareholders | ❖ Refers to funds that is to be sourced from a proposed injection of equity by non-resident shareholders |

- iii) Others ❖ Refers to funds that is to be sourced from registrant's own funds other than the categories specified above
 - b) Domestic borrowings ❖ Refers to the source of funds for investment from domestic borrowing as follows:
 - i) Inter-company loans ❖ Refers to the investment that is to be financed with a borrowing from registrant's related company(ies) in Malaysia
 - ii) Banking institutions ❖ Refers to the investment that is to be financed with a borrowing from domestic banking institutions
 - iii) Others ❖ Refers to the investment that is to be financed with a borrowing from a resident party other than specified above
 - c) Offshore borrowings: ❖ Refers to the source of funds for the investment from offshore borrowing e.g. from related company overseas, overseas financial institutions, issuance of bonds overseas etc.
- 17. B. Other Than Cash ❖ Refers to the investment that is to be made in a form of other than cash:
 - a) Share swap:
 - i) Foreign shares for foreign shares ❖ Refers to the registrant is going to exchange its shares in a NON-RESIDENT company with the shares of investee company either from the investee itself or other resident or non-resident party. In such cases there will be no outflow of funds.
 - ii) Local shares for foreign shares ❖ Refers to the registrant is going to exchange its shares in a RESIDENT company with the shares of investee company either from the investee itself or other resident or non-resident party. In such cases there will be no outflow of funds.
 - b) Assets:
 - i) Used assets ❖ Refers to the proposal to invest in the form of used assets e.g. used equipment, used machineries, etc.

- ii) New assets ❖ Refers to the proposal to invest in the form of providing new assets, e.g. new equipment, new machineries, etc. which will be obtained from domestic or overseas sources
 - d) Others ❖ Refers to the proposal to invest in other forms such as providing technical services, where payment is to be made in kind, etc.
- 18. Details of the shares/assets swap ❖ If the investment is in the form of other than cash i.e. in the form of share swap, assets or others, please explain briefly about the proposed investment arrangement