



EXPLANATORY NOTES
FORM A
(FINANCIAL GUARANTEE)

COVER PAGE

Item	Description
1. Submission by applicant seeking registration	➤ Please tick this item if the applicant submits his/her/its application himself/herself/itself
2. Submission on behalf of the applicant (third party)	➤ Please tick this item if a third party submits the application on behalf of the registrant e.g. consultant company, bank, etc.

PARTICULARS OF REGISTRATION

Item	Description
1. Name of applicant	➤ Name of applicant as registered with Companies Commission of Malaysia (CCM), National Registration Department (NRD) and Registrar of Societies (ROS). For non-resident, the name as registered with any official registration centre overseas
2. Basic Group	➤ The category of applicant e.g. individual, company, association etc

3. Resident status
 - Residency status of applicant in Malaysia according to Financial Services Act 2013 (FSA)
 - a. Resident
 - A citizen of Malaysia or a person who has obtained a permanent resident status in Malaysia and residing in Malaysia, or body corporate or incorporate which is registered or approved by any authority in Malaysia. Please refer to the definition of resident in FSA for details
 - i) Resident Controlled Company (RCC)
 - A resident company which is controlled directly or indirectly by residents
 - ii) Non-resident Controlled Company (NRCC)
 - A resident company which is controlled directly or indirectly by non-resident
 - b. Non-resident
 - A person who is not a resident, including Embassies, Consulates, High Commissions, supranational or international organisation. Please refer to the definition of non-resident in FSAfor details
4. Business Registration /NRIC no.
 - Identification number as given by the CCM, NRD and ROS. For partnership, professional bodies, government agencies, associations, statutory bodies, co-operatives, societies, international organisation, foundation and businesses in Sabah and Sarawak, please use the number assigned by Bank Negara Malaysia (BNM) earlier, if any. Otherwise, please use the identification number assigned by the relevant approving authority
5. Passport no.
 - The number as shown in your passport for individuals or the number assigned by overseas registration centre for other than individuals
6. Nationality
 - The nationality as shown in your passport or the country where the non-resident applicant is located
7. Registered Address
 - The address as shown in the Memorandum of Association of the company
8. Correspondence address
 - Address where the applicant can be contacted by post
9. Contact person
 - The name of person for BNM officer to contact for any enquiry
10. Designation
 - Designation of the above contact person

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| 11. Telephone no. | ➤ Telephone number (fixed line or mobile phone) where the contact person can be reached |
| 12. Fax no. | ➤ Current facsimile number to facilitate contact |
| 13. E-mail address | ➤ Valid and active e-mail address for any enquiries or notification |
| 14. Principal business activity | ➤ State main business activity of the applicant. A list of business activities is provided for applicant to choose. If the applicant is an individual, system will automatically chosen the principal business activity as “household” and applicant is required to complete his/her occupation |
| 15. Occupation/Business activity details | ➤ For individual applicant, please state your occupation. For other than individual applicant, this space could be completed to further describe its principal business activity. |
| Details of third party | ➤ Particulars of party, who is submitting application on behalf of applicant |
| 16. Name of company/
Individual | ➤ Name of the third party which applies on behalf of applicant. For resident, the name should be the same as that registered with CCM, NRD or ROS |
| 17. Address | ➤ Address where the third party can be contacted by post |
| 18. Contact person | ➤ The name of person for BNM officer to contact for any enquiry |
| 19. Designation | ➤ Designation of the above contact person of third party |
| 20. Telephone no. | ➤ Telephone number (fixed line or mobile phone) where the contact person of third party can be reached |
| 21. Fax no. | ➤ Current facsimile number of the third party to facilitate contact |
| 22. E-mail address | ➤ Valid and active e-mail address of the third party for any enquiries or notification |

Details of Issuer/Guarantor

Item	Description
1. Details of issuer/guarantor	➤ It refers to the information of the guarantor or issuer of the relevant guarantee
a) Overall amount guaranteed	➤ Total amount being guaranteed. This amount should not exceed the amount of borrowing to be guaranteed
b) Name	➤ Full name of the guarantor
c) Basic group	➤ The category of guarantor e.g. individual, company, association etc
d) Resident status	➤ Residency status of the guarantor according to exchange control rules. For resident company, please also indicate whether the company is a Resident Controlled Company (RCC) or Non-Resident Controlled Company (NRCC)
e) BRIC no.	➤ For resident, please state the business registration number or the identity card number of the resident
f) Country	➤ For non-resident, please state the country where the guarantor is located or residing
g) Issuer/Guarantor status	➤ Category of guarantor either a financial institution, a company or an individual
h) Amount guaranteed:	➤ Amount that was guaranteed by the respective guarantor. If unable to furnish the breakdown amount for each guarantors, please leave the amount blank and provide overall amount guaranteed above
i) Currency	➤ Type of currency of the guarantee. The type of currency is usually the same as the type of currency of the relevant borrowing
ii) Amount	➤ Total amount guaranteed by the respective guarantor
iii) RM equiv.	➤ Total amount guaranteed by the respective guarantor in ringgit equivalent

2. Amount of borrowing being guaranteed:
 - It refers to total amount of the relevant borrowing which is going to be guaranteed by the guarantor:-
 - a) currency
 - Type of currency of the borrowing
 - b) amount
 - Amount of the borrowing
3. Expiry date of guarantee
 - The date when the guarantee will no longer effective
 - a) fixed date
 - Refers to the guarantee with a fixed date of expiry
 - b) indefinite
 - Refers to the guarantee with no fixed date of expiry
4. Details of the party on whose behalf the guarantee is issued:
 - a) Name
 - Full name of the party which the guarantee is issued on behalf of
 - b) Basic group
 - The category of the party e.g. individual, company, association etc
 - c) Resident status
 - Residency status of the party according to exchange control rules. For resident company, please also indicate whether the company is a Resident Controlled Company (RCC) or Non-Resident Controlled Company (NRCC)
 - d) BRIC no.
 - For resident, please state the business registration number or the identity card number of the resident
 - e) Country
 - For non-resident, please state the country where the above party is located or residing

5. Details of beneficiary
(lender):

- a) Name ➤ Full name of the beneficiary stated in the guarantee agreement
- b) Basic group ➤ The category of the beneficiary e.g. individual, company, association etc
- c) Resident status ➤ Residency status of the beneficiary according to exchange control rules. For resident company, please also indicate whether the company is a Resident Controlled Company (RCC) or Non-Resident Controlled Company (NRCC)
- d) BRIC no. ➤ For resident, please state the business registration number or the identity card number of the resident
- e) Country For non-resident, please state the country where the beneficiary is located or residing

6. Details of borrower:

- This section is required to be completed if the borrower of the borrowing being guaranteed is differ from the party on whose behalf the guarantee is issued:-
- a) Name ➤ Full name of the borrower
- b) Basic group ➤ The category of the borrower e.g. individual, company, association etc
- c) Resident status ➤ Residency status of the borrower according to exchange control rules. For resident company, please also indicate whether the company is a Resident Controlled Company (RCC) or Non-Resident Controlled Company (NRCC)
- d) BRIC no. ➤ For resident, please state the business registration number or the identity card number of the resident
- e) Country ➤ For non-resident, please state the country where the borrower is located or residing
- f) Relationship with the party on whose behalf the guarantee issued ➤ The relationship between the borrower and the party on whose behalf the guarantee is issued e.g. subsidiary company

7. Details of counter guarantor (if any):
- a) Name ➤ Full name of the counter guarantor (if any)
 - b) Basic group ➤ The category of the counter guarantor e.g. individual, company, association etc
 - c) Resident status ➤ Residency status of the counter guarantor according to exchange control rules. For resident company, please also indicate whether the company is a Resident Controlled Company (RCC) or Non-Resident Controlled Company (NRCC)
 - d) BRIC no. ➤ For resident, please state the business registration number or the identity card number of the resident
 - e) Country ➤ For non-resident, please state the country where the counter guarantor is located or residing
 - f) Relationship with borrower or the party on whose behalf the guarantee issued (if it differ from the borrower) ➤ If the borrower of the borrowing being guaranteed differs from the party on whose behalf the guarantee is issued, applicant is required to state the relationship between the counter guarantor and the party. If the borrower and the party on whose behalf the guarantee is issued is the same party, then applicant is required state the relationship between the counter guarantor and the borrower
8. Reason(s) for obtaining the guarantee from offshore financial institution other than Labuan International Business And Financial Centre (IBFC) ➤ If a resident applicant is obtaining guarantee from non-resident financial institution, he/she/it is required to provide reason(s) why he/she/it cannot obtain similar guarantee from financial institutions in Labuan IBFC